



UNIVERSITY OF  
BIRMINGHAM

# Managing the monograph: developing local strategies for open access book chapters

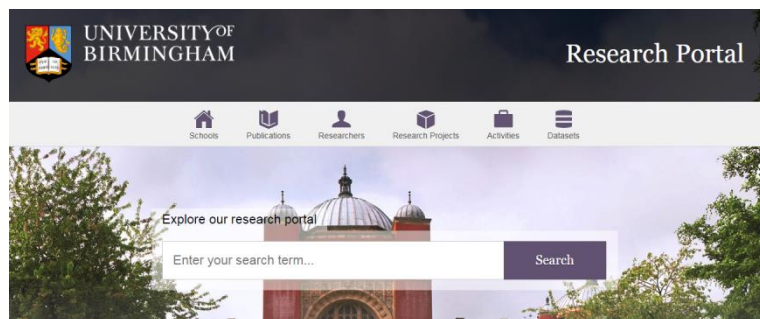
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Scholarly Communication Conference



# UoB and Open Access

- Institutional publications repository – Pure
- Outputs visible through [Research Portal](#)



- Mainly journal articles - up to now, not actively pursuing other material
- Too much focus on compliance?



# Using REF as an opportunity

- Many chapters have been deposited, but not made 'open' – could be an 'easy win'
- Use REF environment statements as a reason to engage – *'extra credit'*
- Chance for Arts and Humanities disciplines to increase amount of open outputs
- Move towards a broader institutional culture of 'open research'



# What we did...

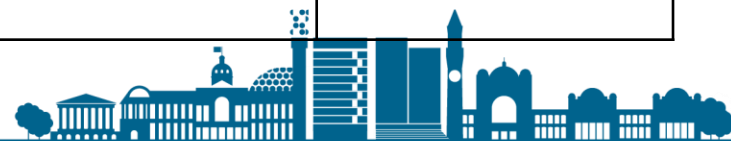
- Initial work with College of Arts and Law
  - largest no. of chapters deposited to Pure
- Report on book chapters deposited to Pure
- Cross-matched against sources e.g. [UKCORR permissions list](#) and publisher sites
- Checked if a blanket policy or requires permission request



# College of Arts and Law report

Potential to make CAL authored chapters published since 2014 and recorded in PURE, Green OA

	<b>Green OA possible</b>	<b>Publisher has blanket policy</b>	<b>No. of outputs</b>
	Yes	Yes	972
	Yes	No	60
	Unknown	Unknown	666
<b>Total</b>			<b>1698</b>



# Top 5 most frequent publishers

From this dataset, the top 5 most frequent publishers used by CAL authors were as follows:

- ❑ **Oxford University Press**
- ❑ **Routledge**
- ❑ **Cambridge University Press**
- ❑ **Brill**
- ❑ **Palgrave Macmillan**

All have blanket green OA self-archiving policies allowing the AAM of chapter to be deposited to IR (and on personal websites)

- ❑ **Accounts for 657 outputs in total**



# Based on our findings

Report and dataset sent to College proposing:

- We create webpage detailing policies
- Make existing AAM chapters in repository OA/embargoed for later OA
- Develop workflows for future/book/chapters deposit to Pure
- Provide flowcharts for authors about process
- Received positive response from Director of Research



# Flowchart for authors

Author has publication accepted

Author creates PURE record for output and uploads AAM of chapter

Library Services check publisher policy on Green self-archiving

**Publisher has blanket Green OA policy for repositories**

Library Services add metadata and embargo (if required).  
PURE record validated

Chapter is open access in repository - accessible through PURE Research Portal

**Publisher does not have blanket Green OA policy for repositories**

Library Services contact publisher to request permission, on behalf of the author.  
Author CC'd into correspondence

**Publisher does not permit Green OA**

Library Services adds metadata, checks document is closed.  
PURE record validated

**Publisher permits Green OA**

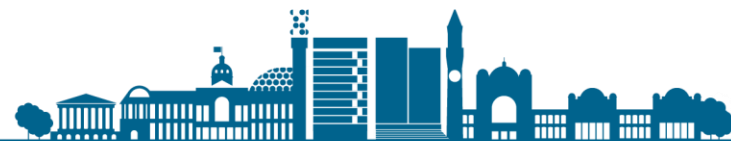
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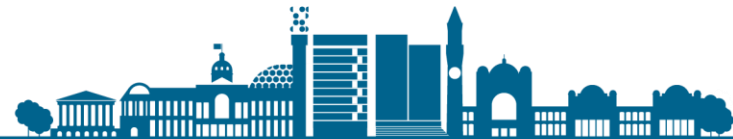
# Issues found

- No OA workflow is ever 'simple'!
- Some large publishers still do not have blanket OA policies for chapters e.g. Wiley
- Time required to contact authors for AAMs and updating information.



# Next steps

- Team working now to actively make chapters open/embargoed when deposited
- Webpages to be kept updated
- Team retrospectively working through previously deposited chapters
  
- Target other colleges in similar way
- Readiness for REF *after* 2021



# For more information



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