THE FUTURE OF RESEARCH ASSESSMENT: PEER REVIEW VS METRICS



TIME FOR A MORE GROWN UP DEBATE?

Liz Allen
Director of Strategic Initiatives, F1000
30 May 2017



RESEARCH ASSESSMENT & EVALUATION ARE IMPORTANT

Effective funding & learning

Reward & recognition

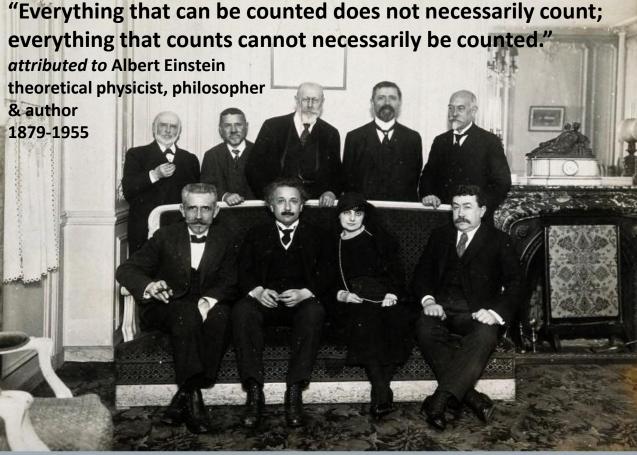
Career appointments

Strategy setting

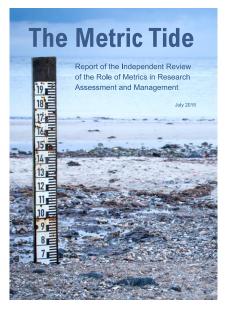
Resource allocation

CORE PRINCIPLES FOR RESEARCH ASSESSMENT

- Integrated: M & E is a core component of funding process
- Balanced & realistic: essential to remember the complexity & what you can/can't measure & attribute (qualitative & quantitative data required)
- Proportionate: M & E should not detract from the research in first place



RESEARCH INDICATORS USEFUL BUT USE SENSIBLY





The Leiden Manifesto for research metrics

Use these ten principles to guide research evaluation, urge Diana Hicks,
Paul Wouters and colleagues.

at art increasingly used to govern science, Research evaluations that by peers are now routine and relations on metrics. The problem is that evaluation is now led by the data rather than by judgment. Metrics have proliferated: usually well intentioned, not always well infection, do that the problem is that the state of the well intentioned, not always well indeed, often ill applied. We first damaging the systems with the very tools designed to increasingly indeed to the property of the property of its as evaluation is increasingly implemented by organizations without knowledge of, or arbite on, good practice and interpretation. Before 2000, Here was the Science Catation Index on CD-80M from the Institute Catation Index on CD-80M from the Institute Colorabic Index on CD-80M from the Institute Colorabic Index on CD-80M from the Institute Colorabic Index on Colorabic Index on Inde

were introduced, such as InCline (using the Web of Science) and Scivid (using Scopus), as well as software to analyse indeeduclination profiles surgic Goode Scholer (Pethids or Persis, released in 2007).

In 2005, Speep Hirsch, a physicist at the University of California, San Diego, proposed the A-indee, popularizing citation counting for individual researchers. Interest in the journal import factor grew steadily

Lately, metrics related to social usage 3



'PEER REVIEW' USEFUL BUT USE SENSIBLY (i)

Common critique	efficiency & productivity					
Peer review is too resource intensive	Bureaucratic/burden on reviewers					
	Resource intensive: time (securing response) & cost					
	Division of labour: many stages					
	Long term sustainability					
takes too long/slows down research	Process takes too much time & prevents researcher from moving on					

'PEER REVIEW' USEFUL BUT USE SENSIBLY (ii)

Common critique	effectiveness						
Peer review is a blunt instrument	When is it best used? (e.g. 'best'; excellent; merit; novel ?) expert review vs expert input?						
	Generalist vs targeted						
does not recognise the	Is risk averse/'anti-innovation'						
'best' science	Does not favour multi/inter-disciplinary work						
	Does not favour applied/translational research						
is unreliable	Hard to know if the 'right' peer reviewed (vs reviewers who responded)						
	Ratings vary between reviewers						
	Difficult to reconcile narrative commentary with quantitative 'scoring'						
is biased	Is gender-biased						
	Is age-biased						
	Plagued by unconscious bias & group think						
	Favours existing positive results?						
is unaccountable & not	Open to cronyism						
transparent	Anonymity can mask conflicts						

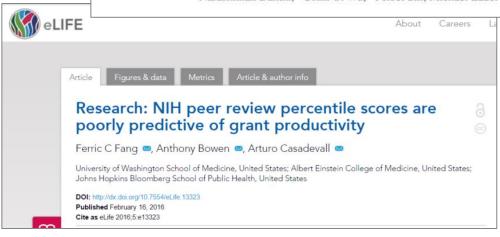
Source: adapted from RAND (2013) Alternatives to Peer Review on Research Project Funding http://www.rand.org/content/dam/rand/pubs/research_reports/RR100/RR139/RAND_RR139.pdf

Effectiveness: limits of scoring to select 'best'

Special Article

Percentile Ranking and Citation Impact of a Large Cohort of National Heart, Lung, and Blood Institute–Funded Cardiovascular R01 Grants

Narasimhan Danthi, * Colin O. Wu, * Peibei Shi, Michael Lauer



Effectiveness: limits of scoring in decision



Biochemist questions peer review at UK funding agency

Data show scores given to grant applications by external reviewers don't correlate with what actually gets funded.



"... not every referee makes sensible comments ... nor gives scores that match their written comments ... the job of board members is precisely to moderate this. So looking at scores alone without context is likely to prove misleading."

Prof Doug Kell, former Head of BBSRC (UK)

Source: Van Noorden, R. Nature (20 questions peer review at UK funding agains), Nature doi:10.1038/nature.2014.16479

Effectiveness: bias - gender continued ...



Source: Bornmann L, Mutz R, Daniel H. (2007) Gender differences in grant peer review: a meta-analysis. *J Informetr* 1:226–238. Pohlhaus JR, Jiang H, Wagner RM, Schaffer WT, Pinn VW. (2011) Sex differences in application, success, & funding rates for NIH extramural programs. *Acad Med* 86:759–767

Effectiveness: other bias



✓ PEER-REVIEWEI

Biases in grant proposal success rates, funding rates and award sizes affect the geographical distribution of funding for biomedical research

Wayne P. Wahls™

Published April 11, 2016 PubMed 27077009

Geography



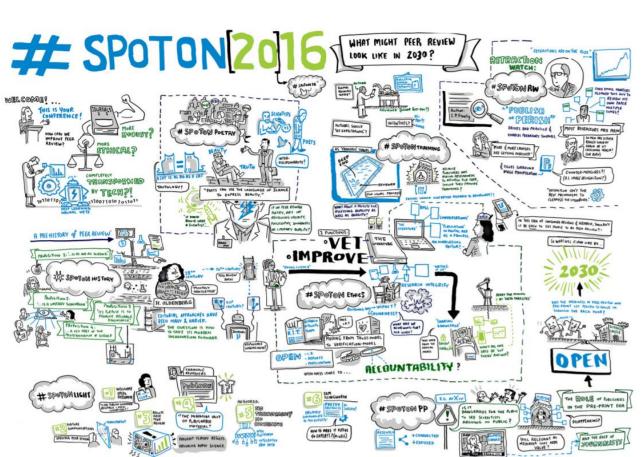
Race & ethnicity

Race, Ethnicity, and NIH Research Awards

Donna K. Ginther^{1,1}, Walter T. Schaffer², Joshua Schnell³, Beth Masimore³, Faye Liu³, Laurel L. Haak³, Raynard King...

+ See all authors and affiliations

Source: https://peerj.com/articles/1917/;



> Tailoring & selection Find and invent new ways of identifying, verifying and inviting peer reviewers, focusing on closely matching expertise with the > Diversity research being reviewed to increase uptake. Artificial intelligence could be a valuable tool in this. > Experimentation Encourage more diversity in the reviewer pool (including early career researchers, researchers from different regions, and > Training women). Publishers in particular could raise awareness and investigate new ways of sourcing female peer reviewers. > Cross-publisher Experiment with different and new models of peer review, sharing/portability'/ particularly those that increase transparency. efficiencies Invest in reviewer training programs to make sure that the next generation of reviewers are equipped to provide valuable

research community:

Our recommendations as we head towards 2030 are that the

Recognition, credit & feedback within recognized guidelines. reward for reviewers Work towards cross-publisher solutions that improve efficiency and benefit all stakeholders. Portable peer review has not taken off at any scale, but could make the publishing process more > Technology to improve

efficient for all involved. effectiveness

That funders, institutions and publishers must work together to identify ways to recognize reviewers and acknowledge their work.

Use technology to support and enhance the peer review process, Source: Digital Science (2016) What including finding automated ways to identify inconsistencies that might peer review look like in 2030? are difficult for reviewers to spot.

























PLOS









REINVENTING SCHOLARLY 'PUBLISHING'

Beyond journals: beyond articles





A platform for rapid author-led publication and open peer review of research funded by the Bill & Melinda Gates Foundation

Read more in this blog -





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SOFTWARE TOOL ARTICLE

Hierarchical Bayesian inference for ion channel screening dose-response data [version 1; referees: awaiting peer review]

- ── Ross H Johnstone¹, Rémi Bardenet², David J Gavaghan¹, Gary R Mirams^{1,3}
- + Author affiliations
- + Grant information

Abstract

Dose-response (or 'concentration-effect') relationships commonly occur in biological and pharmacological systems and are well characterised by Hill curves. These curves are described by an equation with two parameters: the inhibitory concentration 50% (IC50); and the Hill coefficient. Typically just the 'best fit' parameter values are reported in the literature. Here we introduce a Python-based software tool, *PyHillFit*, and describe the underlying Bayesian inference methods that it uses, to infer probability distributions for these parameters as well as the level of experimental observation noise. The tool also allows for hierarchical fitting, characterising the effect of interexperiment variability. We demonstrate the use of the tool on a recently published dataset on multiple ion channel inhibition by multiple drug compounds. We compare the maximum likelihood,



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 Simon Hametner, Medical University of Vienna,
- Austria
- George Harauz, University of Guelph, Canada Vladimir V. Bamm, University of Guelph, Canada

Data and software availability

Latest source code and datasets used in the publication: https://github.com/mirams/PyHillFit

Archived source code and datasets as at the time of publication: https://doi.org/10.5281/zenodo.163113 (Johnstone et al., 2016b)

License: BSD 3-Clause

The code contains the experimental input data required to reproduce the examples shown here in comma separated value (CSV) format in the file data/crumb_data.csv. Installation instructions for the tool and its dependencies can be found in the README file, in the main folder at the above links.

REINVENTING SCHOLARLY 'PUBLISHING'

Beyond journals: beyond articles

Rethinking 'authorship'

CHANGING CURRENCY OF AUTHORSHIP

THE AUTHOR LIST: GIVING CREDIT WHERE CREDIT IS DUE

The first author Senior grad student on the project. Made the figures.

JORGE CHAM @ 2005

The third author

First year student who actually did the experiments, performed the analysis and wrote the whole paper. Thinks being third author is "fair". The second-to-last author

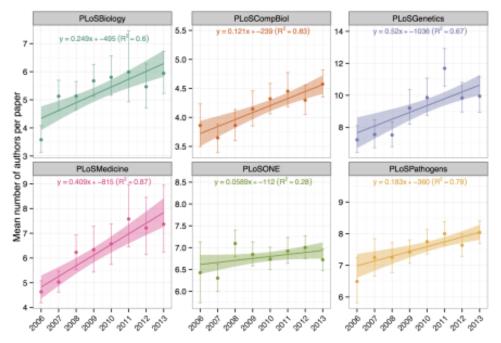
Ambitious assistant professor or post-doc who instigated the paper.

Michaels, C., Lee, E. F., Sap, P. S., Nichols, S. T., Oliveira, L., Smith, B. S.

The second author
Grad student in the lab that has nothing to do with this project, but was included because he/she hung around the group meetings (usually for the food).

The middle authors Author names nobody really reads. Reserved for undergrads and technical staff. The last author
The head honcho. Hasn't
even read the paper but, hey,
he got the funding, and his
famous name will get the
paper accepted.

THE DEMISE OF THE LONE AUTHOR







Journal of Instrumentation

2,926 authors from 169 research institutions!

Journal of Instrumentation > Volume 3 > August 2008

The ATLAS Collaboration et al 2008 JINST 3 S08003 doi:10.1088/1748-0221/3/08/S08003

The ATLAS Experiment at the CERN Large Hadron Collider

OPEN ACCESS THE CERN LARGE HADRON COLLIDER: ACCELERATOR AND EXPERIMENTS

The ATLAS Collaboration, G Aad⁸¹, E Abat¹⁸, J Abdallah¹⁶², A Abdelalim⁴⁶, A Abdesselam¹¹⁶, O Abdinov¹⁰, B A Abi¹¹¹, M Abolins⁸⁶, H Abramowicz¹⁵⁰, E Acerbi⁸⁷, B S Acharva¹⁵⁹, R Achenbach⁵⁵, M Ackers²⁰, D L Adams²³, F Adamyan¹⁶⁹, T N Addy⁵³, M Aderholz⁹⁸, C Adorisio³⁵, P Adragna⁷², M Aharrouche⁷⁸, S P Ahlen²¹, F Ahles⁴⁵, A Ahmad¹⁴⁶, H Ahmed², G Aielli¹³³, P F Åkesson²⁸, T P A Åkesson⁷⁶, A V Akimov⁹³, S M Alam¹, J Albert¹⁶⁴, S Albrand⁵², M Aleksa²⁸, I N Aleksandrov⁶², M Aleppo⁸⁷, F Alessandria⁸⁷, C Alexa²⁴, G Alexander¹⁵⁰, T Alexopoulos⁹, G Alimonti⁸⁷, M Aliyev¹⁰, P P Allport⁷⁰, S E Aliwood-Spiers⁵⁰. A Aloisio¹⁰¹, J Alonso¹⁴, R Alves¹²², M G Alviggi¹⁰¹, K Amako⁶³, P Amaral²⁸, S P Amaral²⁸, G Ambrosini¹⁶, G Ambrosio⁸⁷, C Amelung²⁸, V V Ammosov¹²⁶, A Amorim¹²², N Amram¹⁵⁰, C Anastopoulos¹⁵¹, B Anderson⁷⁴, K J Anderson²⁹, E C Anderssen¹⁴, A Andreazza87, V Andrei55, L Andricek98, M-L Andrieux52, X S Anduaga87, F Anghinolfi28, A Antonaki8, M Antonelli44, S Antonelli19, R Apsimon 127, G Arabidze⁸, I Aracena 142, Y Arai⁶³, A T H Arce 14, J P Archambault 127, J-F Arquin 14, E Arik 18, M Arik 18, K E Arms 108, S R Armstrong²³, M Arnaud¹³⁵, C Arnault¹¹³, A Artamonov⁹⁴, S Asai¹⁵², S Ask⁷⁹, B Asman¹⁴⁴. D Asner²⁷. L Asquith⁷⁴. K Assamagan²³, A Astbury¹⁶⁴, B Athar¹, T Atkinson⁸⁴, B Aubert⁴, B Auerbach¹⁶⁸, E Auge¹¹³, K Augsten¹²⁵, V M Aulchenko¹⁰⁶, N Austin⁷⁰, G Avolio²⁸, R Avramidou⁹, A Axen¹⁶³, C Ay⁵¹, G Azuelos⁹¹, G Baccaglioni⁸⁷, C Bacci¹³⁴, H Bachacou¹³⁵, K Bachas¹⁵¹, G Bachy²⁸, E Badescu²⁴, P Bagnaia¹³², D C Bailey¹⁵⁴, J T Baines¹²⁷, O K Baker¹⁶⁸, F Ballester¹⁶², F Baltasar Dos Santos Pedrosa²⁸. E Banas³⁷, D Banfi⁸⁷, A Bangert⁹⁸, V Bansal¹²¹, S P Baranov⁹³, S Baranov⁵, A Barashkou⁶², E L Barberio⁸⁴, D Barberis⁴⁷, G Barbier⁴⁶, P Barclav¹²⁷, D Y Bardin⁶², P Baroassa¹¹⁶, T Barillari⁹⁸, M Barisonzi³⁹, B M Barnett¹²⁷, R M Barnett¹⁴, S Baron²⁸, A Baroncelli 134, M Barone 44, A J Barr 116, F Barreiro 77, J Barreiro Guimarães da Costa 54, P Barrillon 113, A Barriuso Pov 28, N Barros 122, V Bartheld 98, H Bartko 98, R Bartoldus 142, S Basiladze 96, J Bastos 122, L E Batchelor 127, R L Bates 50, J R Batlev 26, S Batraneanu²⁸, M Battistin²⁸, G Battistoni⁸⁷, V Batusov⁶², F Bauer¹³⁵, B Bauss⁷⁸, D E Baynham¹²⁷, M Bazalova¹²³, A Bazan⁴, P H Beauchemin⁹¹, B Beaugiraud⁴, R B Beccherle⁴⁷, G A Beck⁷², H P Beck¹⁶, K H Becks¹⁶⁷, I Bedajanek¹²⁵, A J Beddall¹⁸, A Beddall¹⁸, P Bednár¹⁴³, V A Bednyakov⁶², C Bee⁸¹, S Behar Harpaz¹⁴⁹, G A N Belanger²⁷, C Belanger-Champagne¹⁶⁰, B Belhorma⁵², P J Bell⁷⁹, W H Bell⁵⁰, G Bella¹⁵⁰, F Bellachia⁴, L Bellagamba¹⁹, F Bellina¹⁶⁷, G Bellomo⁸⁷, M Bellomo¹¹⁷, O Beltramello²⁸, A Belymam⁷², S Ben Ami¹⁴⁹, M Ben Moshe¹⁵⁰, O Benary¹⁵⁰, D Benchekroun⁹², C Benchouk⁸¹, M Bendel⁷⁸, B H

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Credit where credit is due

Micah Altman and Marjorie Hlava are trialling digital taxonomies to help researchers to identify their contributions to collaborative projects.



Research today is rarely a one-person job. Original research papers with a single author are — particularly in the life sciences — a vanishing breed. Partly, the inflation of author numbers on papers has

Through the endorsement of individuals' contributions, researchers can start to move beyond 'authorship' as the dominant measure of esteem. For funding agencies, better information about the contributions of grant applicants would aid the decision-making

journal articles could be classified using a 14-role taxonomy (see 'Who did what?'). The survey was sent to 1,200 corresponding authors of work published in PLOS journals, Nature Publishing Group journals, Elsevier journals, Science and eLife. Corresponding authors were asked to indicate the contribu-

Term	Definition
Conceptualization	Ideas; formulation or evolution of overarching research goals and aims.
Methodology	Development or design of methodology; creation of models.
Software	Programming, software development; designing computer programs; implementation of the computer code and supporting algorithms; testing of existing code components.
Validation	Verification, whether as a part of the activity or separate, of the overall replication/reproducibility of results/experiments and other research outputs.
Formal Analysis	Application of statistical, mathematical, computational, or other formal techniques to analyse or synthesize study data.
Investigation	Conducting a research and investigation process, specifically performing the experiments, or data/evidence collection.
Resources	Provision of study materials, reagents, materials, patients, laboratory samples, animals, instrumentation, computing resources, or other analysis tools.
Data Curation	Management activities to annotate (produce metadata), scrub data and maintain research data (including software code, where it is necessary for interpreting the data itself) for initial use and later re-use.
Writing - Original Draft	Preparation, creation and/or presentation of the published work, specifically writing the initial draft (including substantive translation).
Writing – Review & Editing	Preparation, creation and/or presentation of the published work by those from the original research group, specifically critical review, commentary or revision – including pre- or post-publication stages.
Visualization	Preparation, creation and/or presentation of the published work, specifically visualization/data presentation.
Supervision	Oversight and leadership responsibility for the research activity planning and execution, including mentorship external to the core team.
Project Administration	Management and coordination responsibility for the research activity planning and execution.
Funding	Acquisition of the financial support for the project leading to this publication.



















Conceptualization



Data Curation





Writing - Review





Contributorship badges: a new project

Posted on November 21, 2014 by Abigail Cabunoc Mayes

At the Science Lab, we're always looking for opportunities to work with the community to build prototypes that help research thrive on the open web. We find that these prototypes are best approached by bringing together existing tools and the right groups rather than starting from scratch. This way, we can bridge gaps in workflow and communities while building on existing work done in this space.

REINVENTING SCHOLARLY 'PUBLISHING'

Beyond journals: beyond articles

Rethinking 'authorship'

Digitisation of research outputs & products

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5500+ publisher members
Metadata store of 80m+ scholarly content items
Persistent citation linking
Funder identifiers

Data about content activity e.g. social, dataset links



DataCite Statistics Beta

Registrations by Allocators

Registrations by Datacentres

Registrations by Prefixes

Resolutions by Month

		DOI Registrations				Metadata			
Allocator	▲ Total ¢	This Year \$	Last 30 Days \$	Last 7 Days ♦	Searchable \$	Hidden ¢	Missing ¢	Ratio ¢	
ANDS - Australian National Data Service	162 881	153 072	1 813	194	161 683	292	906	99%	
BIBSYS - BIBSYS		104	85	6	153	1	0	100%	
BL - The British Library		79 401	11 418	1 863	948 431	6 975	122	99%	
CDL - California Digital Library		129 554	12 885	1 910	1 584 065	650 387	5	999	
CERN - CERN - European Organization for Nuclear Research		265 131	153 424	1 276	303 907	3 502	0	100%	
CISTI - National Research Council Canada		83 819	11 007	1 378	169 747	1 086	18	999	
CRUI - CRUI2011		8 279	926	40	49 929	74	3 127	949	
CSIC - CSIC		7 185	9	5	7 172	13	0	1009	
DATACITE - DataCite		23	5	0	49	0	0	1009	
DELFT - TU Delft Library	53 622	4 899	317	42	53 312	35	306	999	
DK - Technical Information Center of Denmark		123 388	10 057	2 621	230 587	61	1	999	
ESTDOI - Tartu University		2 385	66	2	490 081	2	0	1009	
ETHZ - ETH Zurich		124 122	57 158	7 106	1 160 093	1	3 348	999	
FIGSHARE - figshare		256 960	7 246	1 431	449 555	15	0	100	
GESIS - GESIS - Leibniz Institute for the Social Sciences		66 262	4 395	1 104	493 994	427	0	100	
INIST - Institute for Scientific and Technical Information		5 860	935	164	17 572	167	0	100	
JALC - Japan Link Center		18	0	0	20	0	0	100	
MTAKIK - MTA Könyvtára	2 335	708	118	24	2 335	0	0	100	
NRCT - National Research Council of Thailand	79 296	27 483	2 119	781	78 933	363	0	100	
NRF - National Research Foundation of South Africa	2	2	0	0	2	0	0	100	
OSTI - Office of Scientific and Technical Information (OSTI), US Department of Energy	70 717	51 596	233	9	69 298	1 419	14	99	
PURDUE - Purdue University Library		4 568	418	52	12 621	588	0	100	
RG - ResearchGate		167 294	13 594	3 048	409 108	74 117	0	100	
SND - Swedish National Data Service		188	60	0	2 948	4	0	100	
SPBPU - SPbPU	1 266	1 266	213	55	1 266	0	0	100	
SUBGOE - Niedersächsische Staats- und Universitätsbibliothek Göttingen		176	30	0	382	0	0	100	



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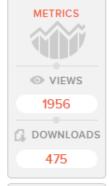
RESEARCH ARTICLE

REVISED The Resource Identification Initiative: A cultural shift in publishing [version 2; referees: 2 approved]

Anita Bandrowski¹, Matthew Brush², Jeffery S. Grethe¹, Melissa A. Haendel² David N. Kennedy³, Sean Hill⁴, Patrick R. Hof⁵, Maryann E. Martone¹, Maaike Pols⁶, Serena Tan⁷, Nicole Washington8, Elena Zudilova-Seinstra9, Nicole Vasilevsky2, Resource Identification Initiative Members are listed here: https://www.force11.org/node/4463/members









Source: https://scicrunch.org/resources

REINVENTING SCHOLARLY 'PUBLISHING'

Beyond journals: beyond articles

Rethinking 'authorship'

Digitisation of research outputs & products

Making connections: inter-operability



ENGAGING RESEARCHERS

"Researchers want to be read, acknowledged, and quoted."

"Researchers want to spend their time on research, not reporting"



REINVENTING SCHOLARLY 'PUBLISHING'

Beyond journals: beyond articles

Rethinking 'authorship'

Digitisation of research outputs & products

Making connections: inter-operability

Understanding impact: access, use, re-use & impact



IMPACT: MANY THINGS TO MANY PEOPLE



Source: HEFCE (REF) 2014

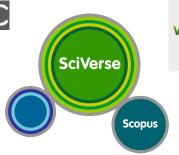
NEW WAYS TO ASSESS RESEARCH (& RESEARCHERS)

- Quality & excellence
- Knowledge progression
- Attention/engagement
- Use & re-use
- Behaviours: funding, sharing, openness, peer review, governance ...
- Potential impact upon the field
- Broader impact

EXPANDING TOOLKIT FOR RESEARCH ASSESSMENT



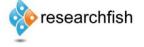














Web of Science

















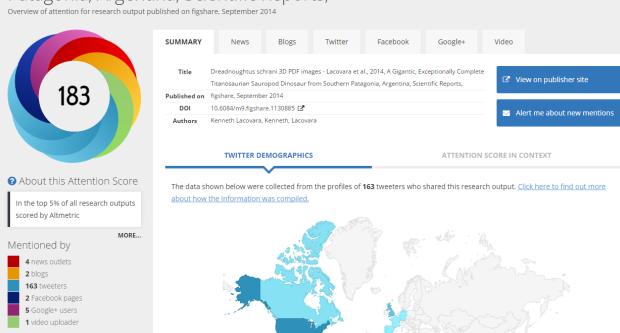


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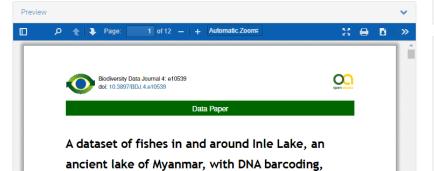
November 9, 2016

A dataset of fishes in and around Inle Lake, an ancient lake of Myanmar, with DNA barcoding, photo images and CT/3D models

Kano, Yuichi; Musikasinthorn, Prachya; Iwata, Akihisa; Tun, Sein; Yun, LKC; Win, Seint; Matsui, Shoko; Tabata, Ryoichi; Yamasaki, Takeshi; Watanabe, Katsutoshi

Inle (Inlay) Lake, an ancient lake of Southeast Asia, is located at the eastern part of Myanmar, surrounded by the Shan Mountains. Detailed information on fish fauna in and around the lake has long been unknown, although its outstanding endemism was reported a century ago.

Based on the fish specimens collected from markets, rivers, swamps, ponds and ditches around Inle Lake as well as from the lake itself from 2014 to 2016, we recorded a total of 948 occurrence data (2120 individuals), belonging to 10 orders, 19 families, 39 genera and 49 species. Amongst them, 13 species of 12 genera are endemic or nearly endemic to the lake system and 17 species of 16 genera are suggested as non-native. The data are all accessible from the document "A dataset of Inle Lake fish fauna and its distribution (http://ipt.pensoft.net/resource.do?r=inle_fish_2014-16)", as well as DNA barcoding data (mitochondrial COI) for all species being available from the DDBJ/EMBL/GenBank (Accession numbers: LC189568-LC190411), Live photographs of almost all the individuals and CT/3D model data of several specimens are also available at the graphical fish biodiversity database (http://ffish.asia/INLE2016; http://ffish.asia/INLE2016-3D). The information can benefit the clarification, public concern and conservation of the fish biodiversity in the region.





Publication date:

November 9, 2016

DOI:

DOI 10.3897/BDJ.4.e10539

Keyword(s):



Published in:

Biodiversity Data Journal: 4 pp. e10539.

Communities:

Zenodo

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Cite as

Kano, Yuichi, Musikasinthorn, Prachya, Iwata, Akihisa, Tun, Sein, Yun, LKC, Win, Seint, ... Watanabe, Katsutoshi. (2016). A dataset of fishes in and around Inle Lake, an ancient lake of Myanmar, with DNA barcoding, photo images and CT/3D models, Biodiversity Data Journal, 4, e10539





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